

# Assessing the Predictability of High-Impact Events and Why There is Limited Use of Ensembles in NWS Operations

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# **This work highlights a CSTAR project (2010-2013): Predictability of High Impact Weather during the Cool Season over the Eastern U.S**

**Brian A. Colle and Edmund Chang**  
**Stony Brook University - SUNY**

HPC – David Novak et al.

EMC – Yuejian Zhu, Yan Luo, Jun Du, and Jordan Alpert

OPC – Joseph Sienkiewicz et al.

WFO-OKX: Jeff Tongue et al.

WFO-PHI: Al Cope et al.

WFO-CTP: Richard Grumm et al.

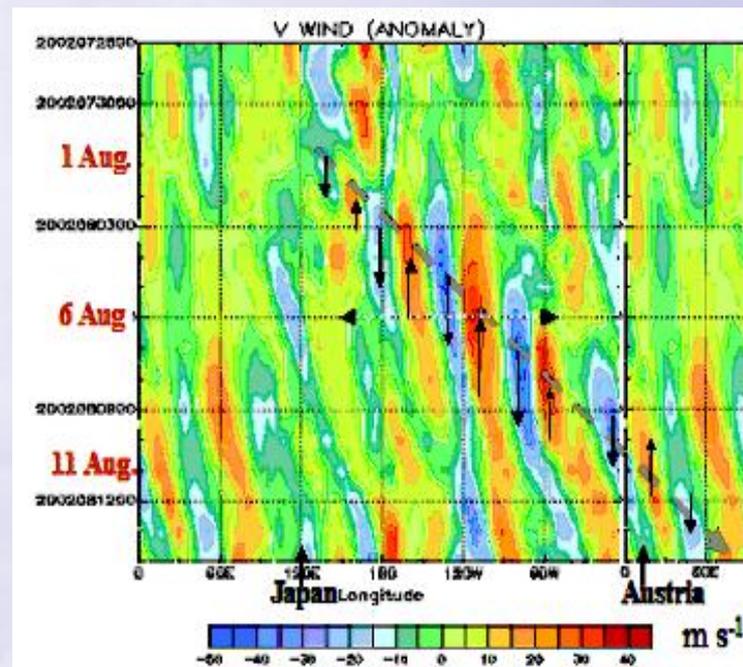
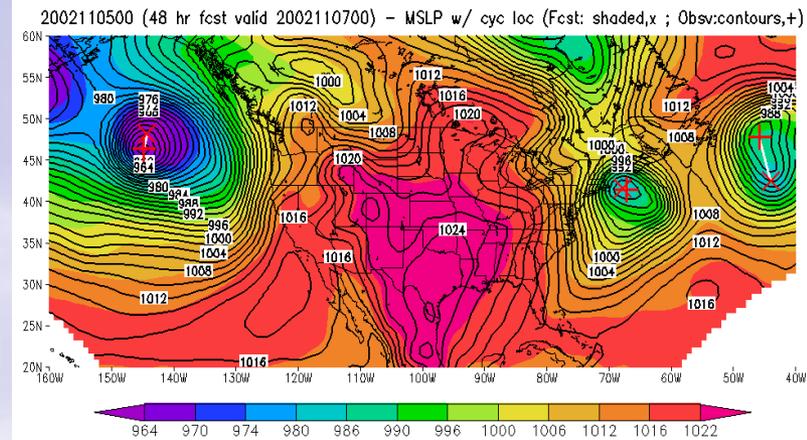
WFO-PIT: Josh Korotky et al.



<http://dendrite.somas.stonybrook.edu/CSTAR/cstar.html>

# CSTAR Scientific Motivation

- \* Improve the understanding of high impact weather predictability during the cool season through objective verification of cyclones and Rossby wave packets (RWPs) in ensembles.
- \* Better understanding of RWPs in relation to extreme weather.
- \* Better understanding of the predictability of some mesoscale phenomena (e.g., snowbands).
- \* Better ensemble tools and post-processing.



From THORPEX International Science Plan  
(Shapiro and Thorpe, 2004)

# Talk Outline

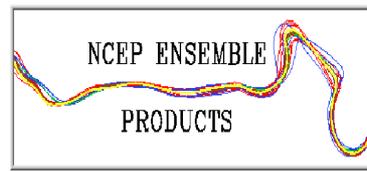
1. Some current ensemble tools/programs used in the NWS forecast offices.
2. Some issues using ensembles in operations: Survey results
3. New tools Part 1: Rossby wave packets
4. New tools Part 2: Real-time ensemble sensitivity analysis

# The operational community has made significant progress in ensemble modeling and products during the last decade

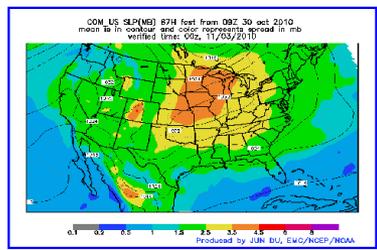
## SHORT-RANGE ENSEMBLE FORECASTING (SREF)

Take COMET "Ensemble Forecasting" online course by clicking [here](#)

[UCAR Ensemble Page](#)



This NCEP Ensemble Home Page is a collection of **experimental** analysis and forecast products produced by the GFS-based Ensemble forecast system.



Forecast Plots				
<a href="#">Probabilistic Precipitation Forecasts</a>	<a href="#">Relative Measures of Predictability</a>	<a href="#">Ensemble Mean and Spread Plots</a>	<a href="#">Ensemble Spaghetti</a>	<a href="#">Cyclone Tracking</a>
<a href="#">DETAILS</a>	<a href="#">DETAILS</a>	<a href="#">DETAILS</a>	<a href="#">DETAILS</a>	<a href="#">Wave Ensemble</a>
<a href="#">24 Hr Precip Evaluation</a>			<a href="#">Parallel Spaghetti</a>	<a href="#">Strike Probabilities</a>
<a href="#">6 Hr PQPF Types</a>			<i>(latest ended 03/09/04)</i>	

## ENSEMBLE PREDICTION SYSTEMS

A basic training manual targeted for operational meteorologists

"Unfortunately when you most need predictability, that's usually when the atmosphere is most unpredictable." - C

FSU/NWS TLH COMET Experimental Forecast Confidence Graphics

[Robert Hart](#) and [Andrew Durante](#), Florida State University  
[Irv Watson](#), SOO, NWS TLH  
[Richard Grumm](#), SOO, NWS CTP  
[Walter Drag](#), SF, NWS BOX

...ast confidence measures using NCEP Ensembles. The end goal is to implement these measures for real-time us...  
 ...e detailed discussion of the project and its goals, along with answers to frequently asked questions can be found...  
 ...mental and not official forecasts. For official forecasts in the U.S., please refer to the National Weather Service...  
 ...d a 5-day forecast confidence map from the latest GFS ensemble run. Links to other forecast times are available...

[Confidence graphics/research presented at the 86th AMS Annual Meeting in Atlanta, GA](#)

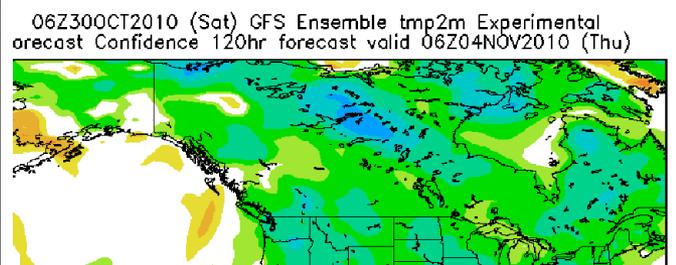
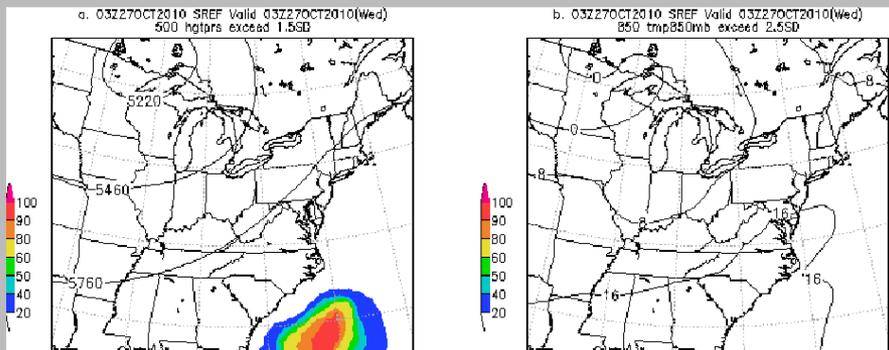
[Confidence Discussion](#)



## Ensemble Threats Forecast & archive viewer

Select speed:  Animation toggle

Select EFS:  
  
 Select a Date:  
  
 Select Projection:  
  
 Select a Cycle:  
  
 Select a Parameter:

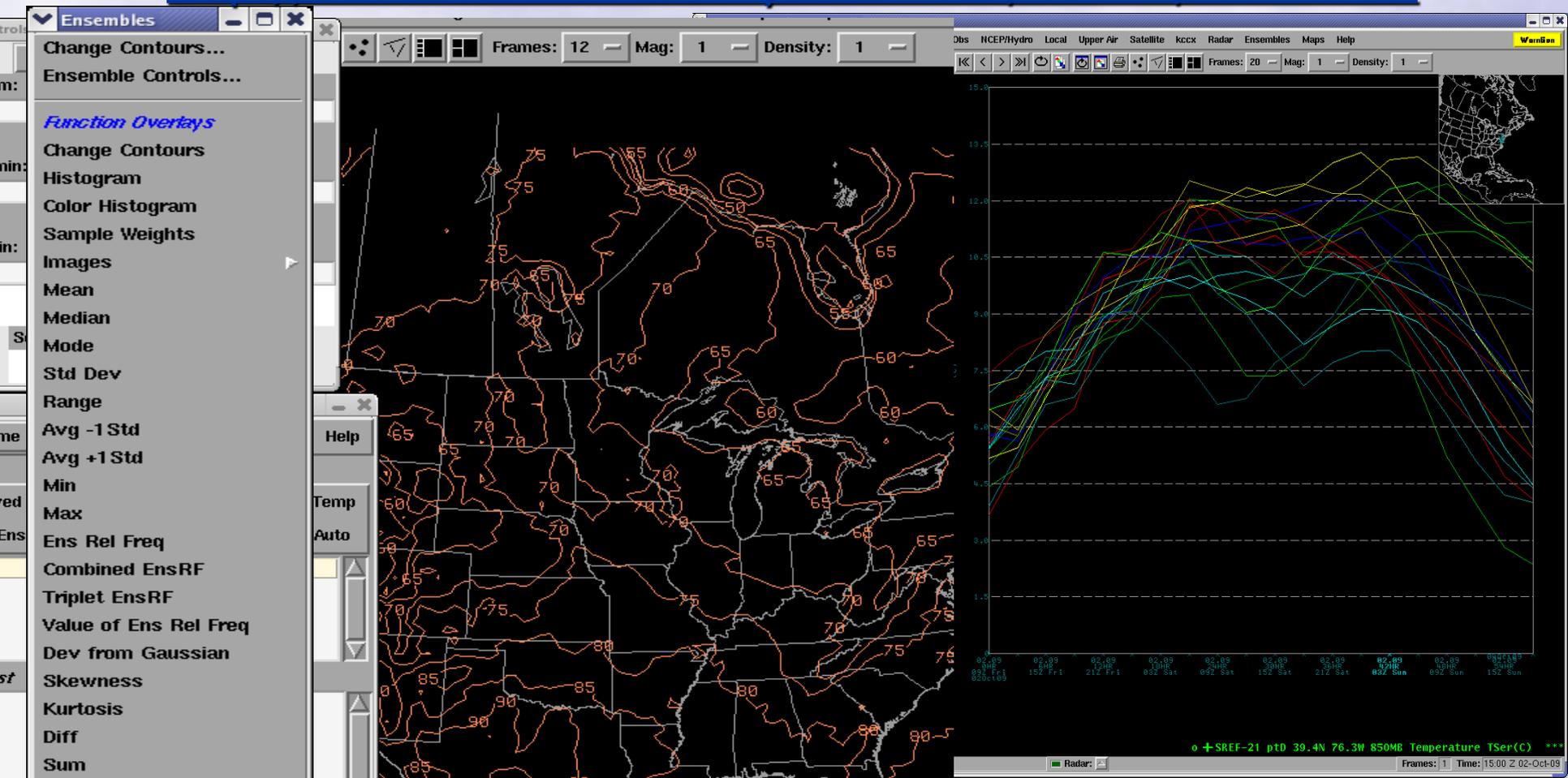


# Some progress displaying ensemble data in the forecast office for CSTAR.

## Advanced Linux Prototype System (ALPS)

<http://www-sdd.fsl.noaa.gov/~ramer/alps/ensembles/ensembles.html>

<http://dendrite.somas.stonybrook.edu/CSTAR/ALPS.html>



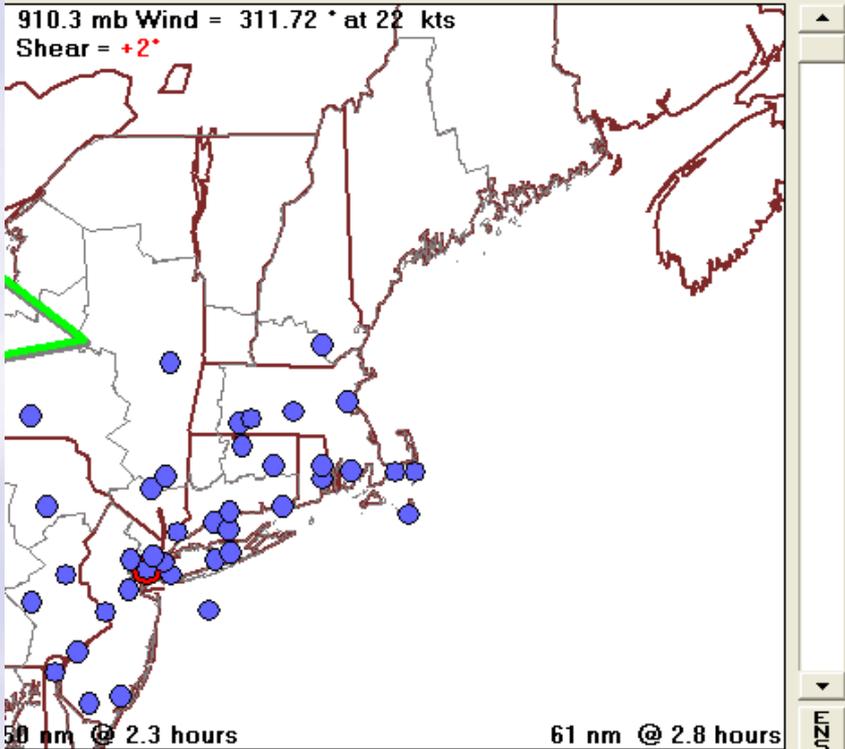




Done	Eta	GFS3	ewrf	KJFK	KHPN	KPOU	KACK	KBGM	KCRW	KMHT	KPIT
	RUC	nmm		KLGA	KBDR	KBDL	KACY	KBOS	KELM	KMIV	KPVD
	Etam	sref		KEWR	OKX	KHVN	KALB	KBUF	KEWB	KMMU	KRDG
Check spelling of selection or entire page				KTEB	025	KGON	KAVP	KCAR	KHYA	KOQU	KTTN
				KISP		IJD	KBAF	KCEF	KILG	KORH	KUNV
				KSWF	LIX	KABE	KBFD	KCHH	KMDT	KPHL	RUTG

Ensemble SREF data into  
BUFKIT (New York, NY  
WFO)

Data	Map	Indices	Precip Type	Lapse Rates	16
Heavy Pop	CAPE-Shear	Hodograph	Fog	Archive	



Country     State     County     CWA     Canada     Map Selection

**Overlays**    Convection    Lake Effect    Alerts    CONRAD    Controls

Vector Winds     Dry Adiabats     Icing    1    A3

Digital Winds     Rel Humidity     Heights

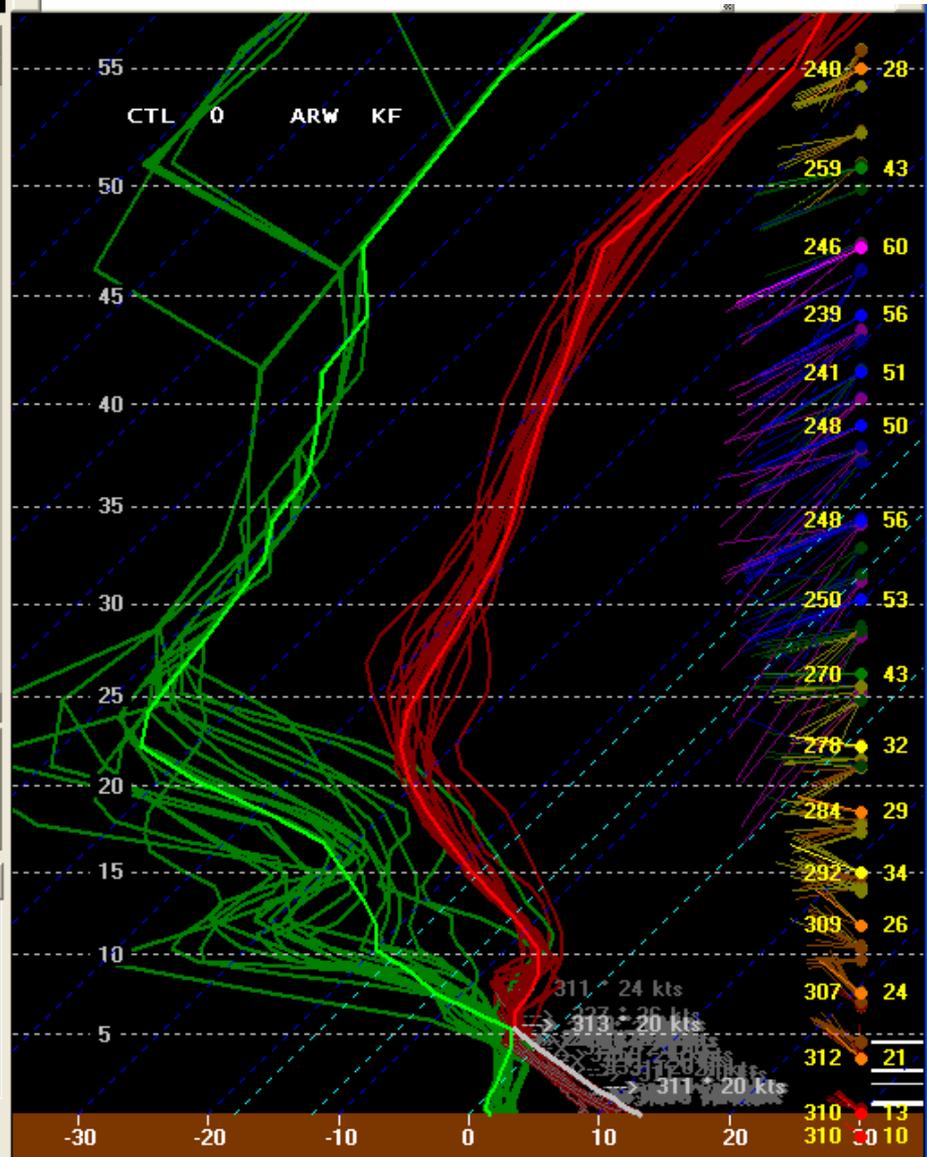
2M Tmp/10M Wnd     Wet Bulb     Skew T

Layer to Layer Shear     Inversions     Clouds

Omega     Snow Growth     Active Read Out    Ft    Km    P

Bourgoiun Precip Type     Hail Growth     Momentum Xfer    1 | 10 | 20 | 30

A B C D E F G H I J K L M N O P Q R S T U V



# What are some of the current challenges in using ensembles in operations?

- \* Ensemble underdispersion (lack of calibration) and biases limit ensemble skill.
- \* Ensembles have not been comprehensively verified, especially for high impact weather.
- \* Forecasters lack tools to understand the origin of ensemble spread and errors in realtime.
- \* Forecasters have few ways to communicate uncertainty in their public forecast products

# **Survey: To rank these potential issues of using ensembles in operations:**

## Survey Questions:

1. What is your current position? (operational forecaster, manager/admin, researcher, and model developer)
2. How often do you use ensembles?
3. Why are ensembles not used in operations as much as they could be? Weight each issue from "not a problem" (= 1) to "one of the largest problems" (= 5)
4. Rank the 8 provided issues from the largest problem to the smallest issue/problem.
5. Open Ended Question: Other written comments regarding the difficulty in using ensembles in operations.

# Ensemble Issues To Rate/Weight

- \* Data Access. Not enough ensemble data is making it into the forecast office.
- \* There is limited time to view and interpret ensembles.
- \* Most clients and/or NWS grids do not require enough probabilistic information.
- \* Ensemble means/probabilities have relatively large errors and are uncalibrated.
- \* Ensemble resolution is too coarse.
- \* Not enough probabilistic verification has been done.
- \* Lack of training in ensembles.
- \* Lack of graphics/tools to interpret ensemble predictions.

Survey open from 9 November 2011 to 15 December 2011.  
Sent to all NWS SOOs (many forwarded to other forecasters),  
managers, and model developers within the NWS, as well as  
15-20 faculty/students at universities doing ensemble research.

\* The results in the following slides are separated into the  
following sections. Number of responses are in the ( )

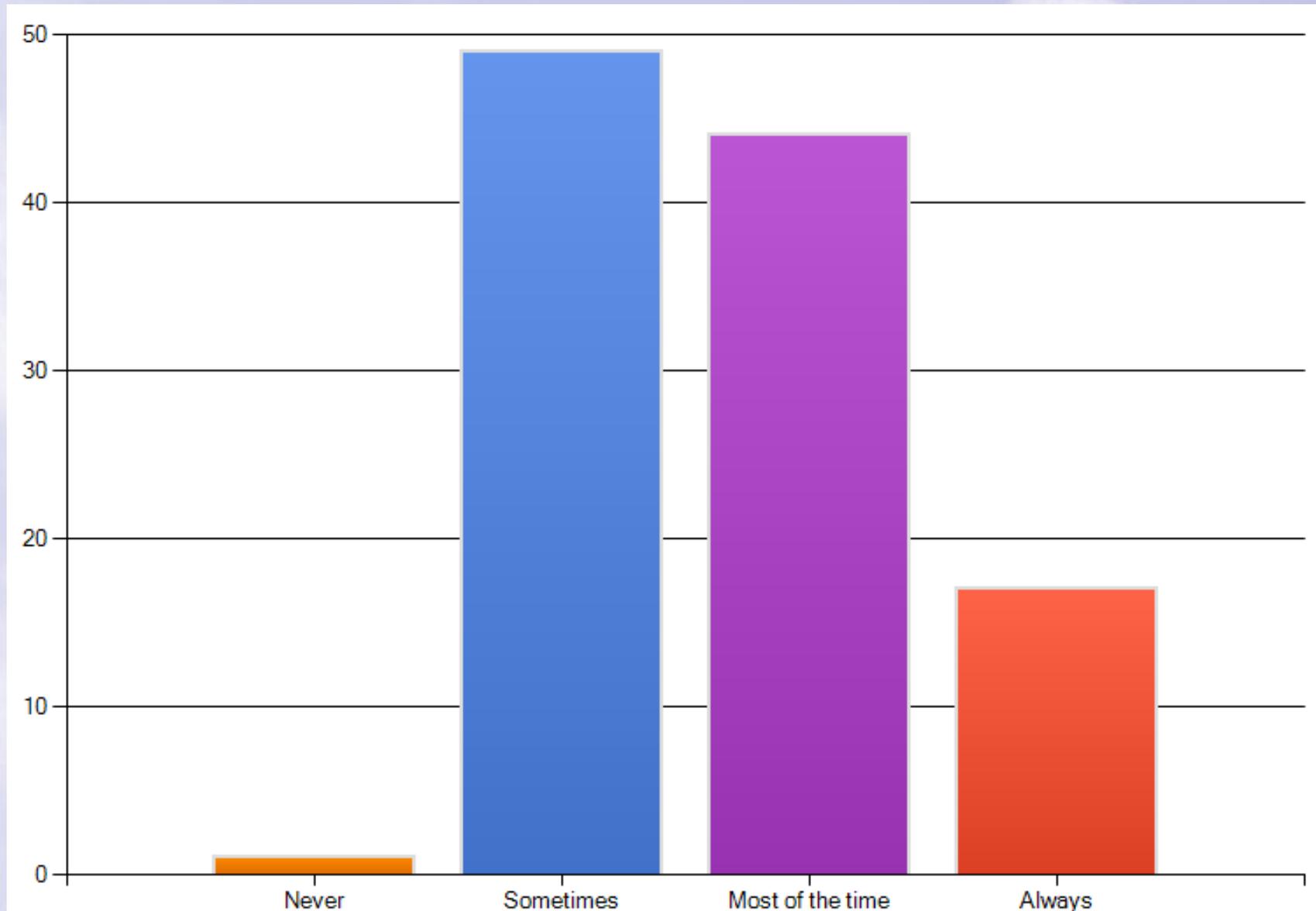
1. All responses (166)
2. Operational NWS Forecasters (111)
3. NWS Admin / Managers (37)
4. Researchers and Model Developers (18)
5. NWS Forecasters who sometimes or never use ensembles (50)
6. NWS Forecasters who use ensembles often or always (61)

For the full survey results, please check out:

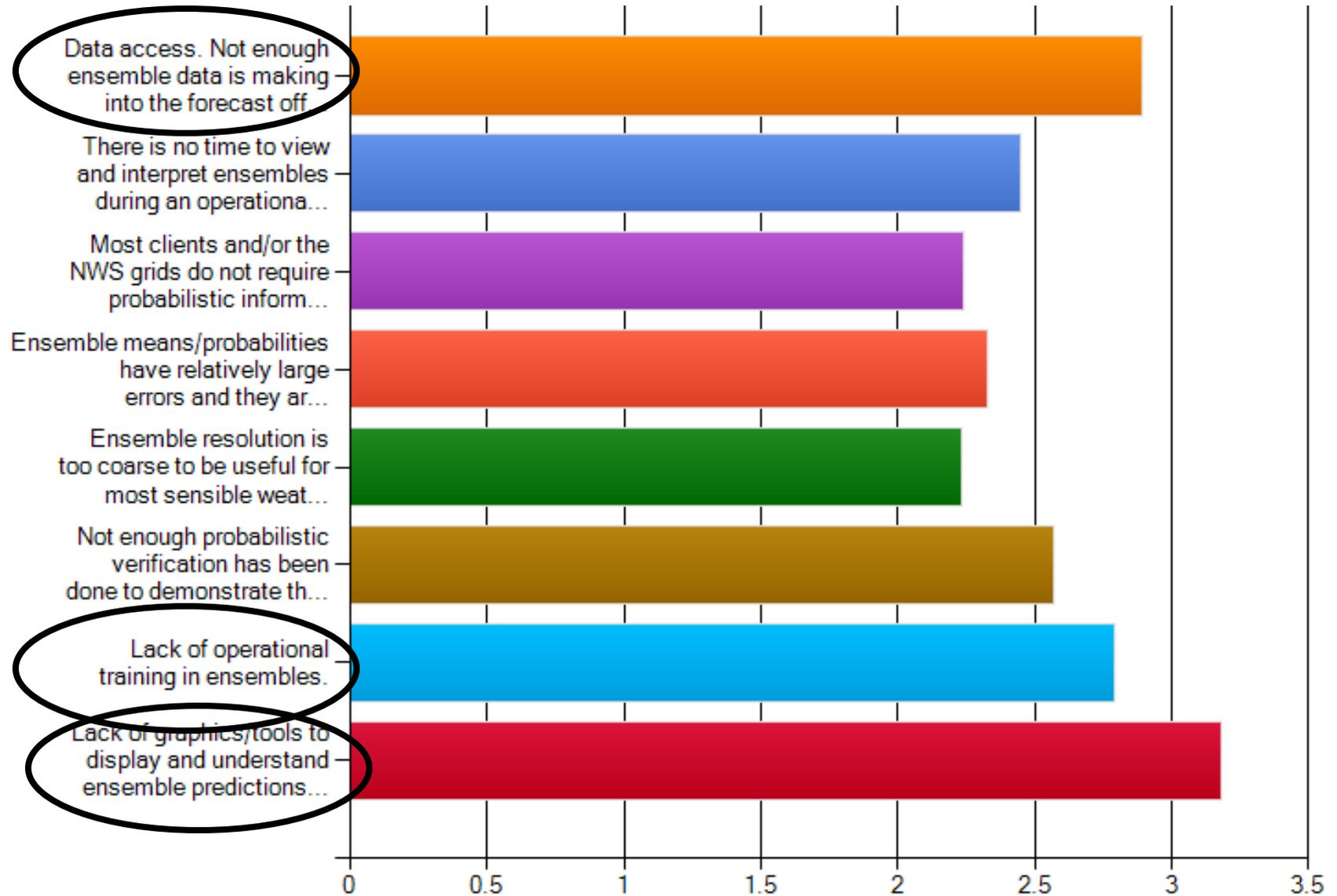
<http://dendrite.somas.stonybrook.edu/CSTAR/Surveys.html>

# Operational Forecaster Results

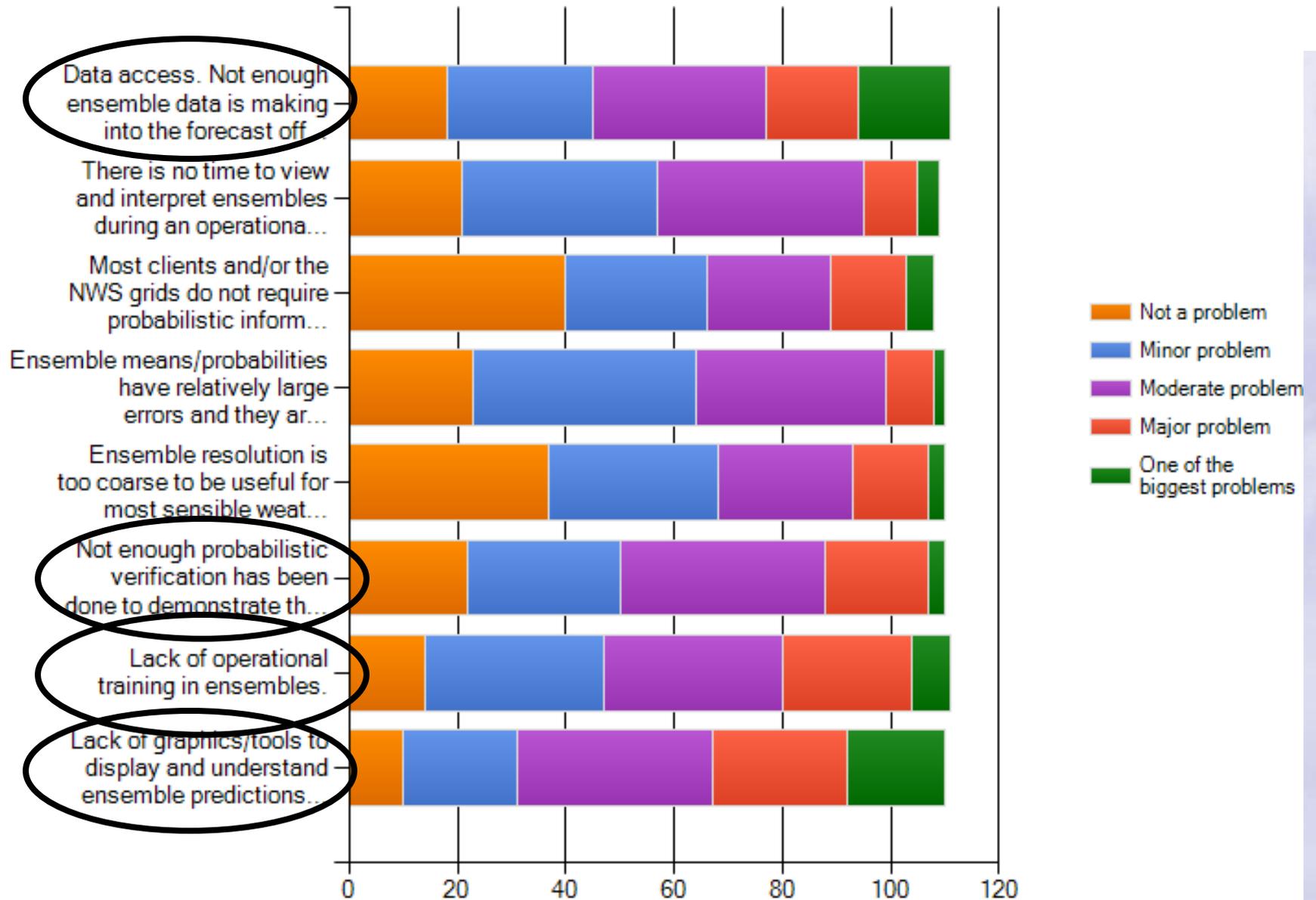
# How often do you use ensembles?



# Why Ensembles not used in operations? (average response) (1=Not a problem, 2 = Minor, 3= Moderate, 4 = Major, 5= One of Biggest)

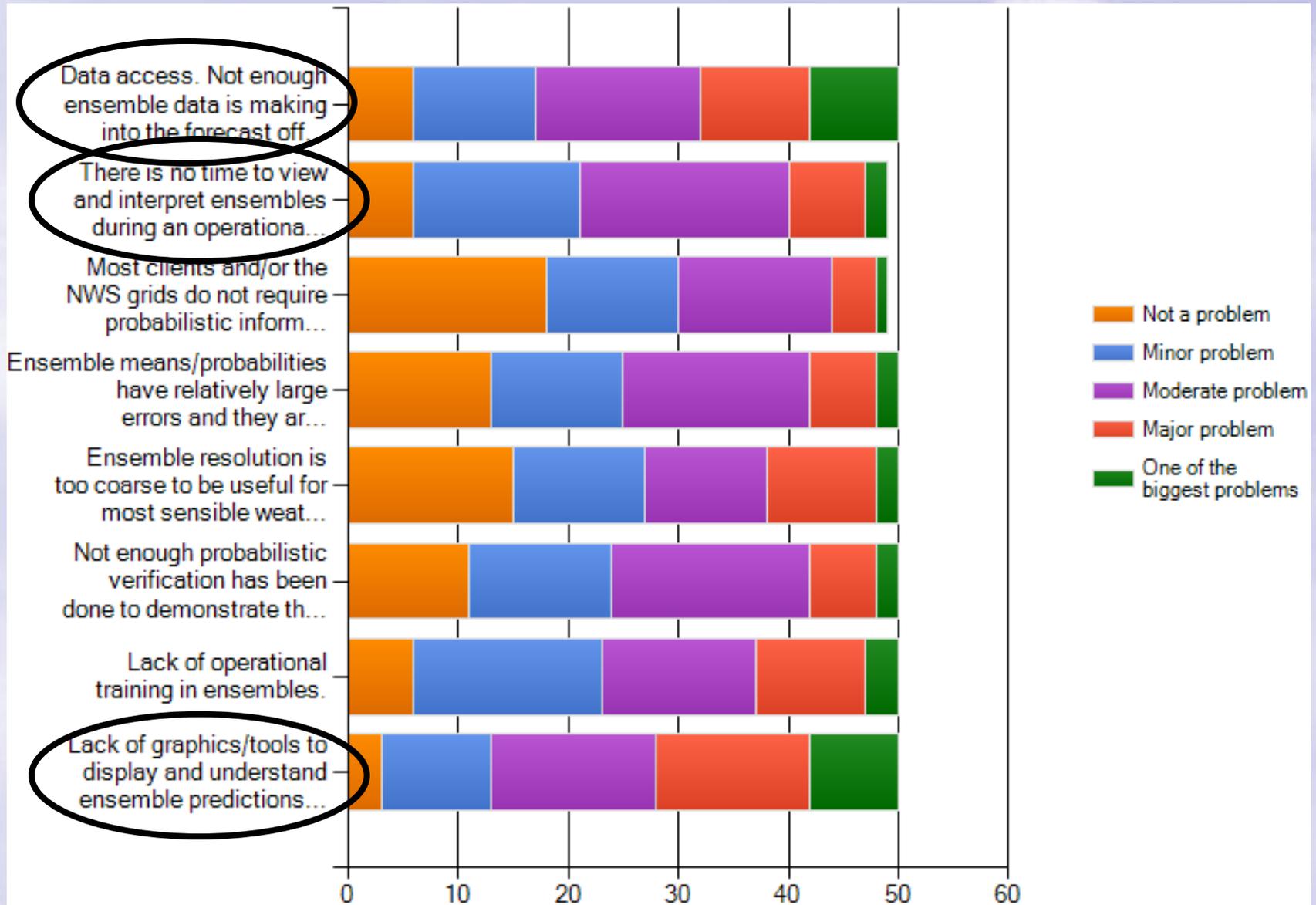


# Why Ensembles not used in operations as much as they could be? (Number of responses: No problem, Minor, Moderate, Major, Biggest)



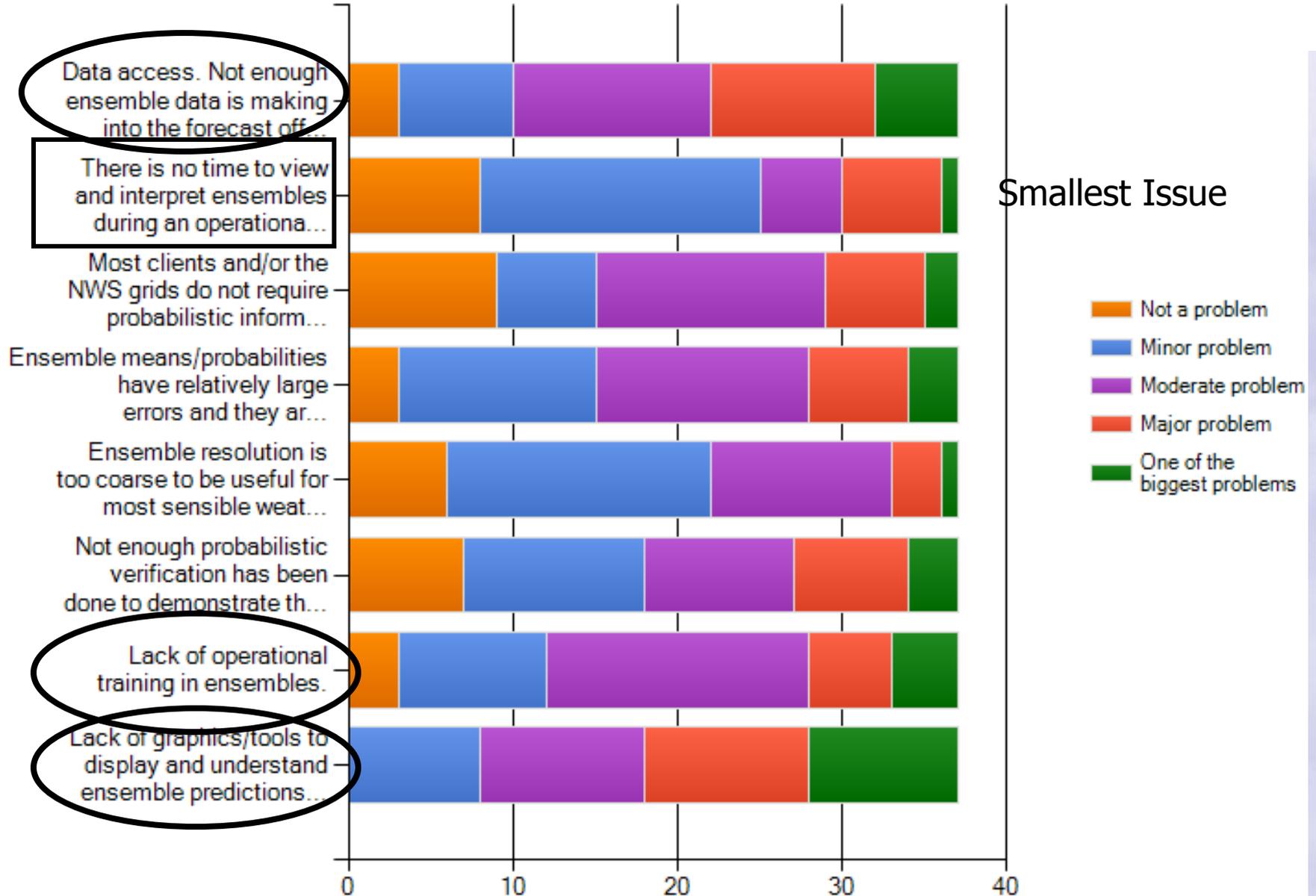
# Forecasters who only sometimes use ensembles

(Number of responses: No problem, Minor, Moderate, Major, Biggest)



**Manager/Admin Results Only**

# Why Ensembles not used in operations as much as they could be? (Number of responses: No problem, Minor, Moderate, Major, Biggest)





Researcher / Model Developer

# Why Ensembles not used in operations as much as they could be? (1=Not a problem, 2 = Minor, 3= Moderate, 4 = Major, 5= One of Biggest)



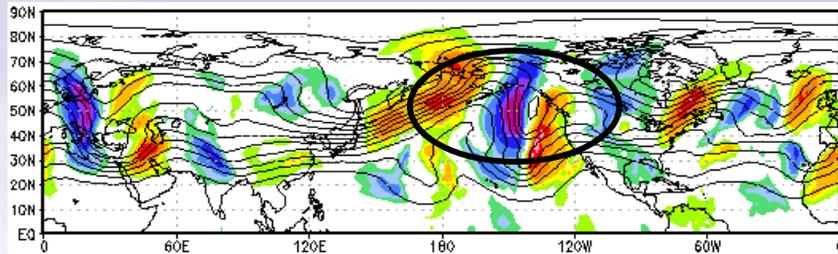
# Survey Summary

- \* Nearly all forecasters use ensembles; however, only ~45% use them "sometimes."
- \* The highest ranked issues are (1) the lack of tool/graphics, (2) ensemble data access, and (3) ensemble training.
- \* For forecasters who "sometimes use ensembles," it appears that the time to view/interpret ensembles is also an issue (ranked #3). Some of this may be from the lack of tools and data access as suggested by their open ended questions.
- \* Managers/admin view the time to interpret ensembles as the smallest problem on average (rank #8).
- \* Most or all researchers and model developers believe that the lack of tool/graphics is the largest issue.

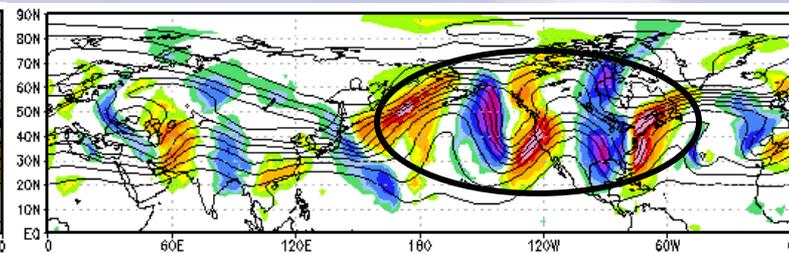
Need more ensemble tools to better  
engage forecasters with ensembles  
and understand predictability issues  
in realtime.

# Stony Brook Wave Packet Diagnostics for Winter TPARC

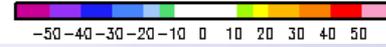
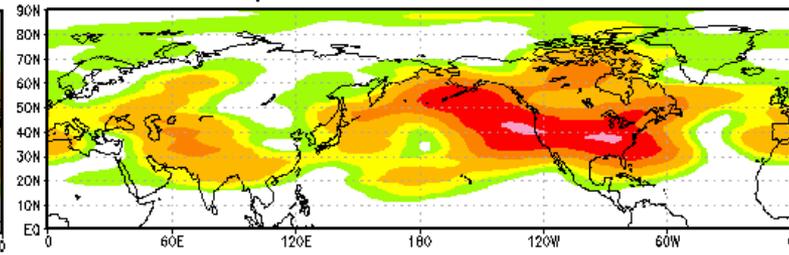
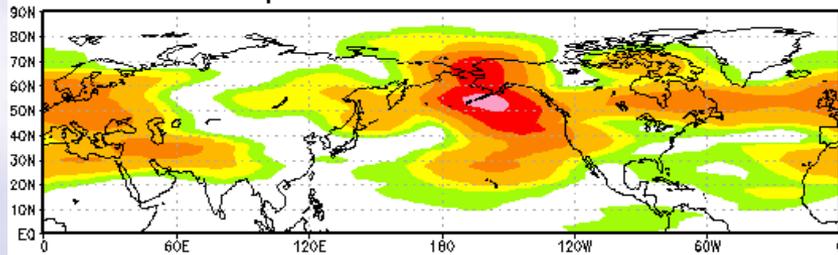
<http://xs1.somas.stonybrook.edu/~chang/personal/Wave/main.htm>



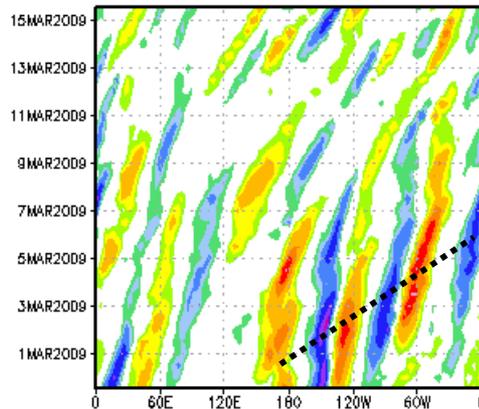
envelope 12 hr fcst from Feb2712



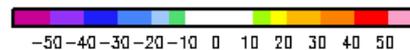
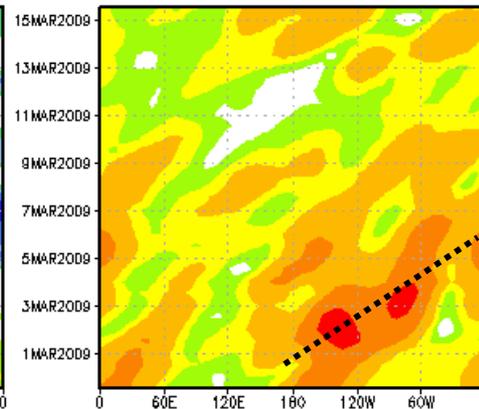
envelope 72 hr fcst from Feb2712

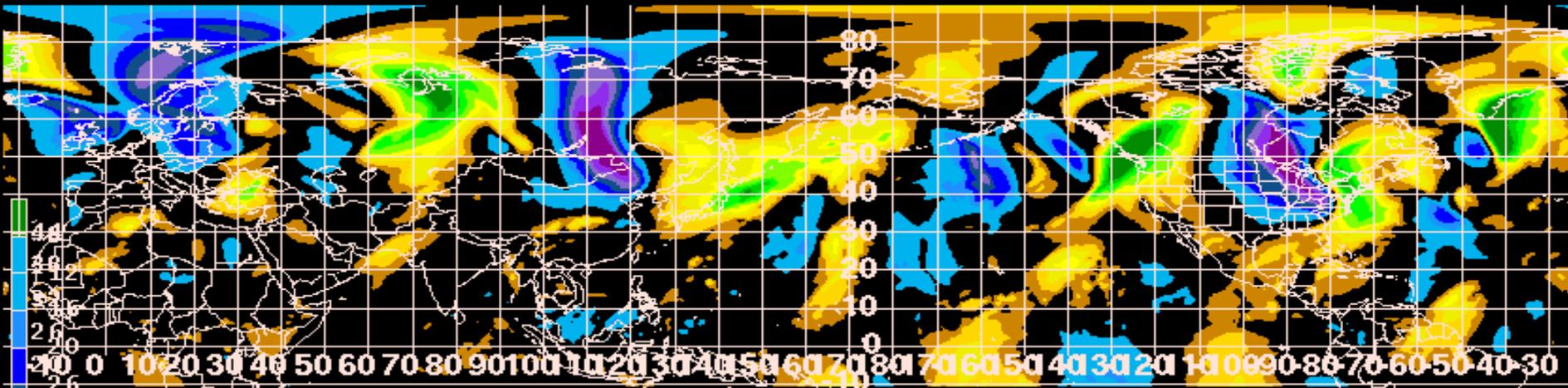


300 hPa v (30-60N ave) fcst from Feb2712



300 hPa env (30-60N ave) fcst from Feb2712

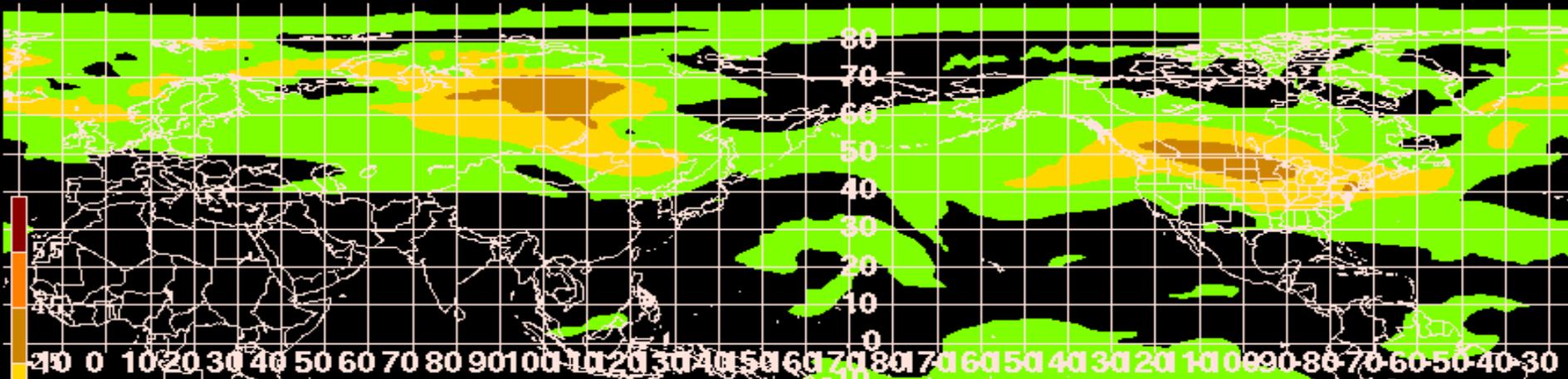




**FRI 101015/0000V072 GFS 300 hPa HGHT/V-WIND**

Stony Brook Univ. – NCEP-HPC collaboration:  
 Real-time Wave Packets in Operations

Courtesy: Mike Bodner at HPC

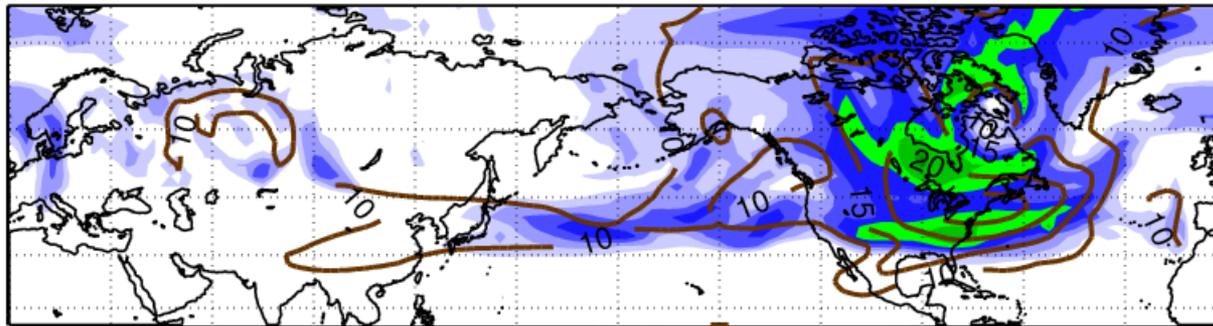


**TUE 101012/0000 GFS 300 hPa WAVE PACKET ENVELOPE**

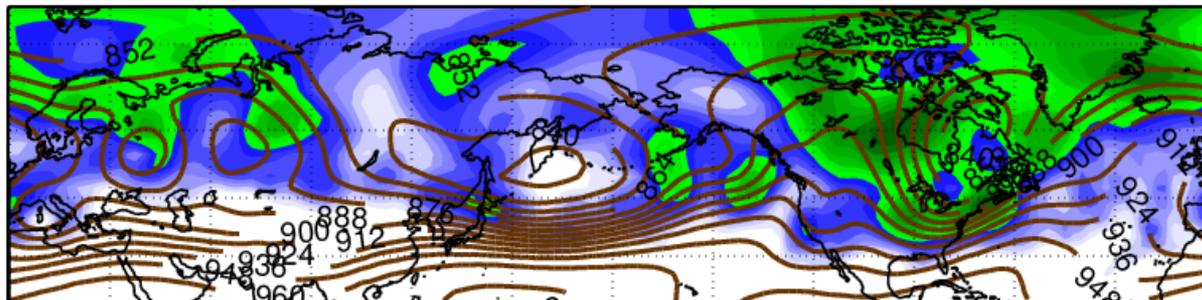
# Ensemble (GEFS) Wave Packets

<http://wavy.somas.stonybrook.edu/wavepackets/home.html>

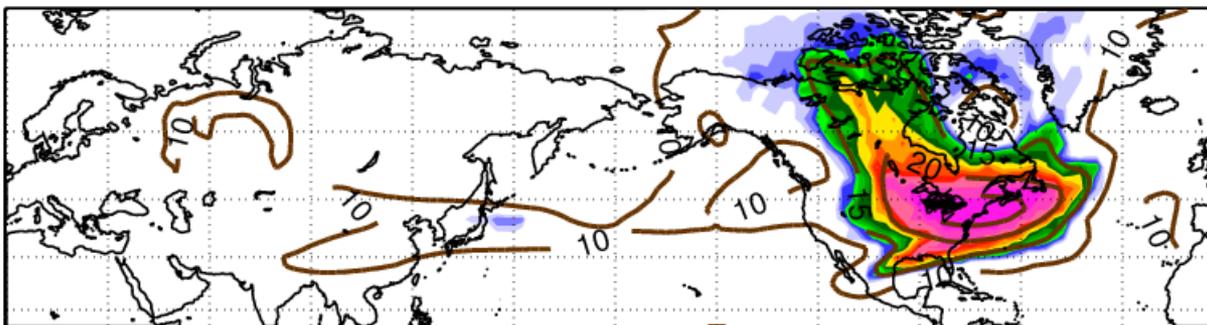
Model Initialized: 2012020700 Valid h+120



300 hPa  
Wave Packet  
Amplitude  
(WPA in m/s)  
and spread  
(shaded)



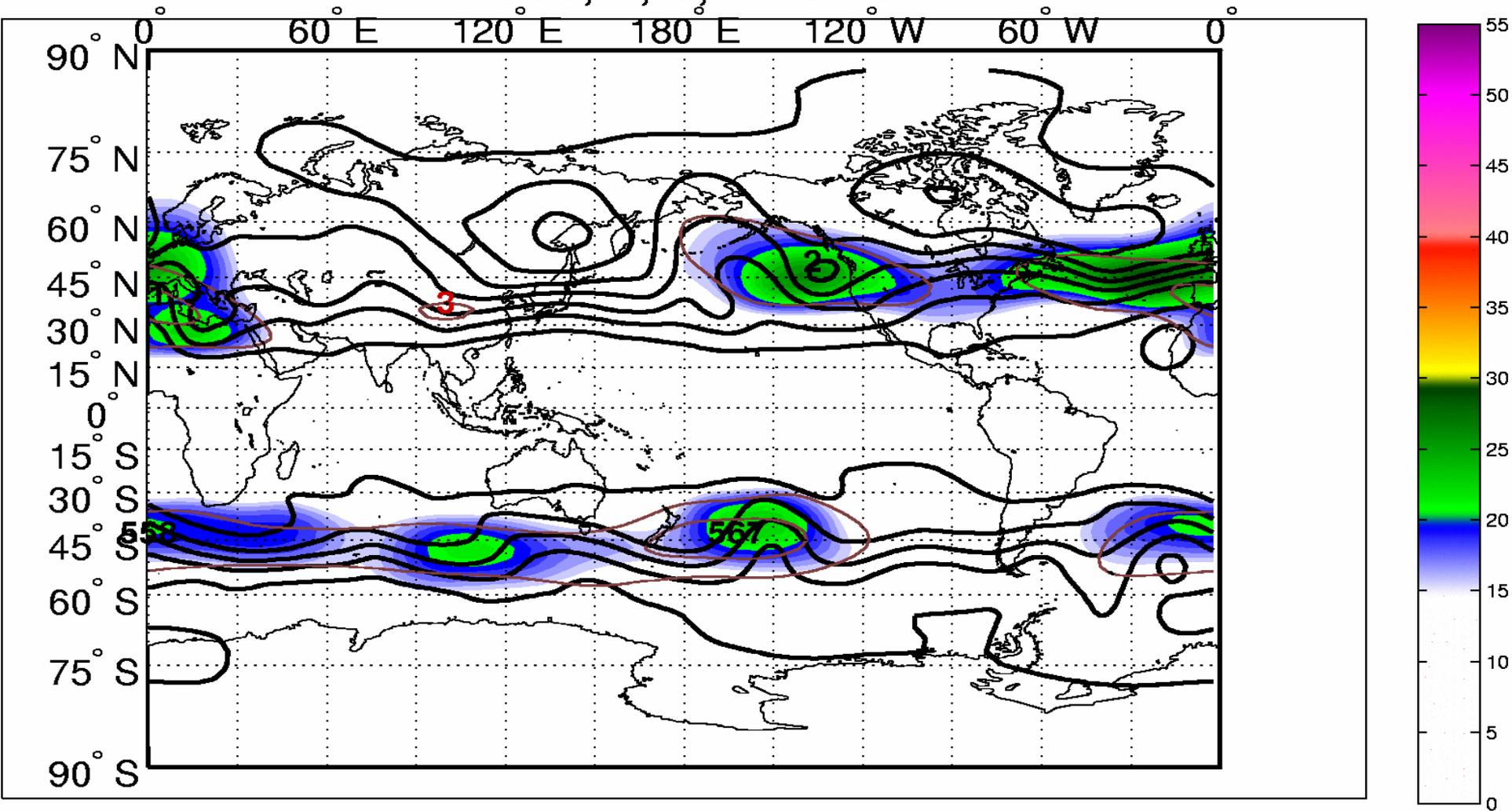
300 hPa  
heights and  
spread  
(shaded in  
dm)



Mean WPA  
And Prob  
(\*100) of  
WPA > 15 m/s

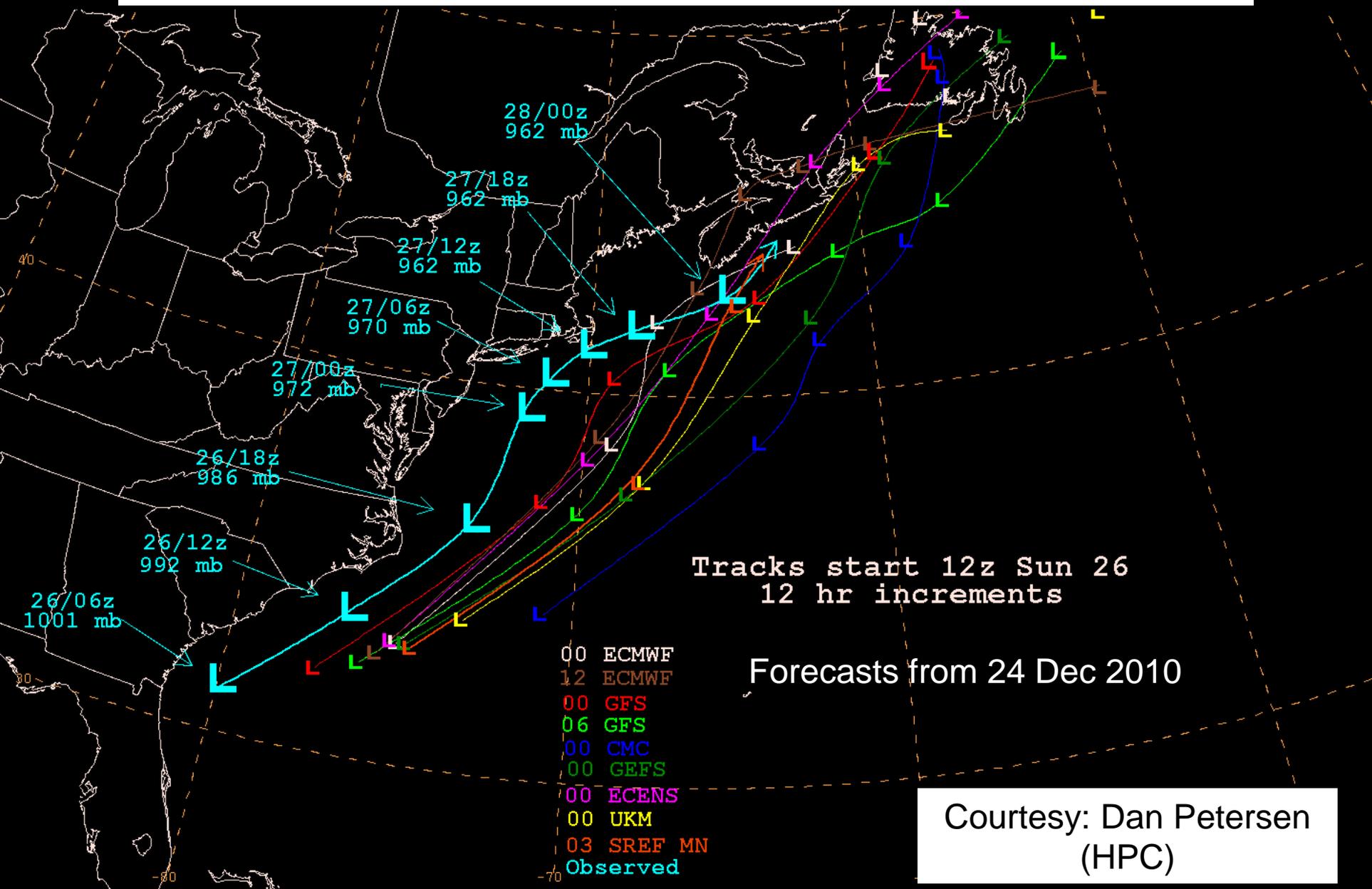
# Have Developed An Automated Wave Packet Program to Determine Climo and Verify

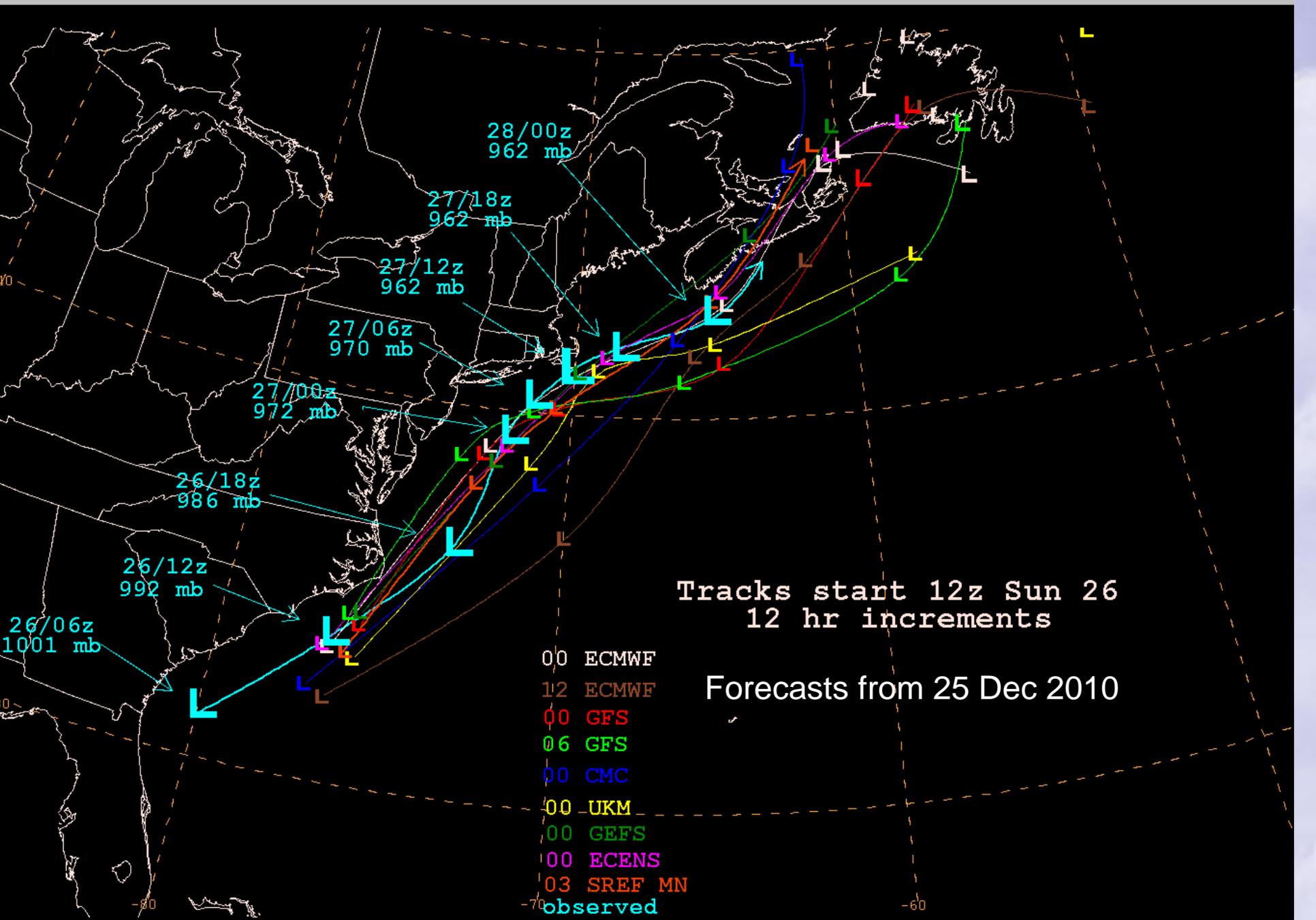
1998, 1, 3, 12 UTC



WPI Shaded, WPA in Brown Contours ( $15, 25, 35 \text{ m s}^{-1}$ ), 300 hPa Height contoured in black (every 24 dam). From Matt Souders: CSTAR grad student

# 26-27 December 2010: Solution outside envelope and flip-flopping: How can forecasters better understand these changes?



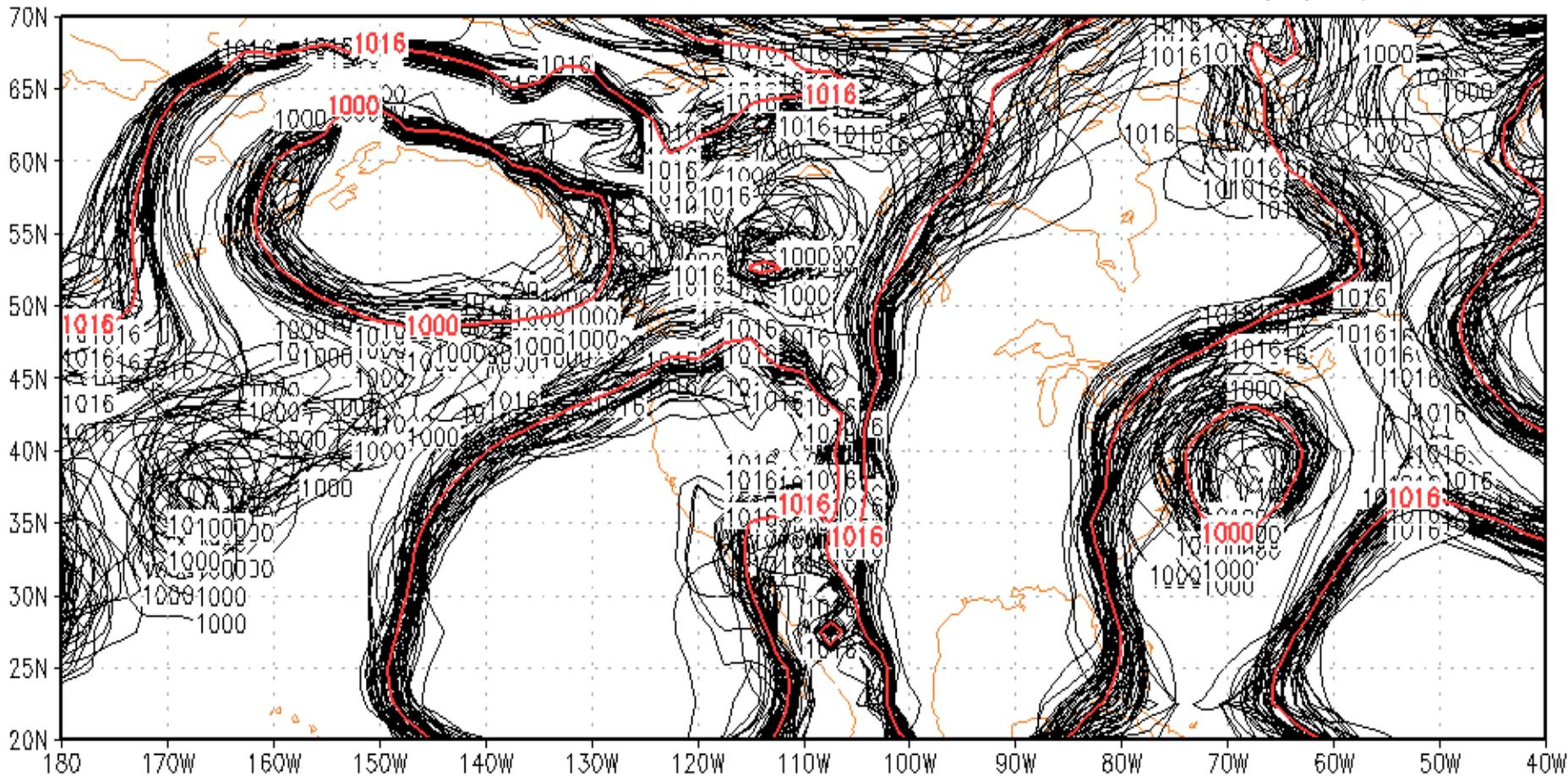


Stony Brook has developed an **ensemble sensitivity analysis** (Torn and Hakim 2008; 2009) tool to enhance forecaster awareness on how upstream uncertainty is effecting a region of interest.

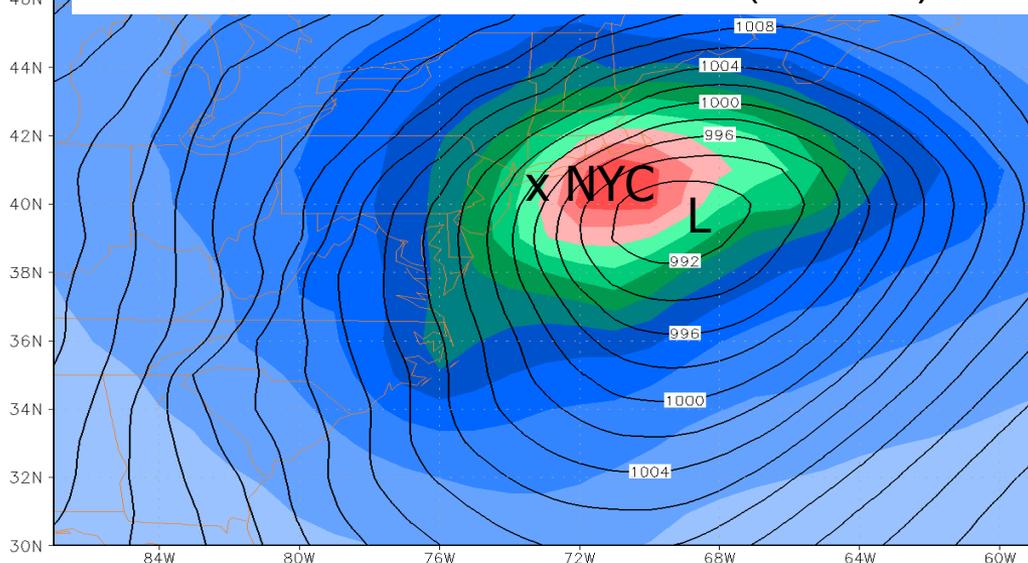
- \* Ensemble sensitivity is a correlation between a forecast metric at the final forecast time within a boxed region and any variable within the model state vector. It makes use of the different evolution of the forecasts among the ensemble members to derive the sensitivity.
- \* For CSTAR: Want to use metrics useful to the forecaster: cyclone location and intensity, or why a shift in cyclone forecast between two model runs?
- \* Test for 26-27 Dec nor-easter and hurricane Irene
- \* Now running in real-time (Minghua Zheng- CSTAR graduate student)

# Initial time 12 Z 23 Dec, 84 hour ECMWF ensemble forecast

12Z23DEC2010 Valid 00Z27DEC2010 MSLP(hpa)



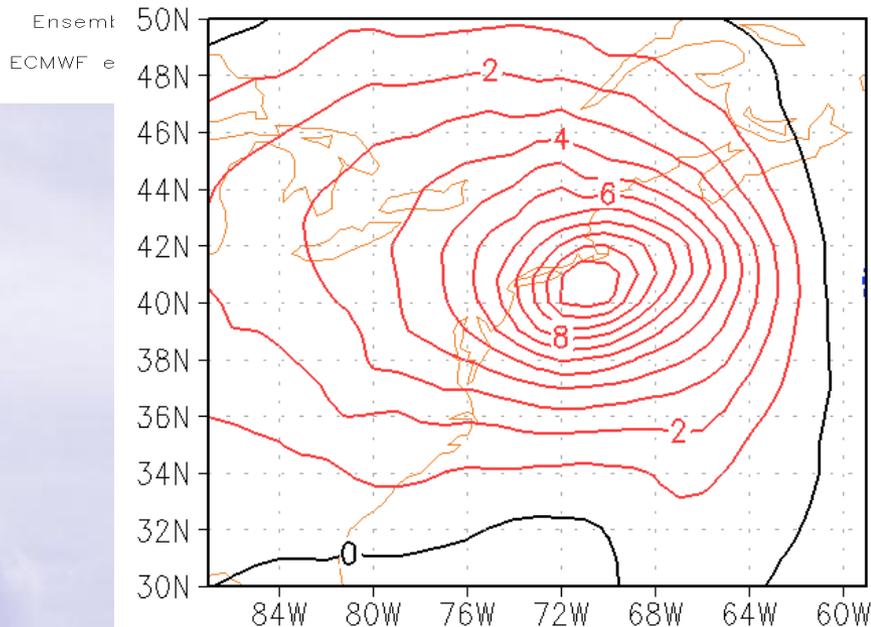
# Ensemble mean and variance (shaded)



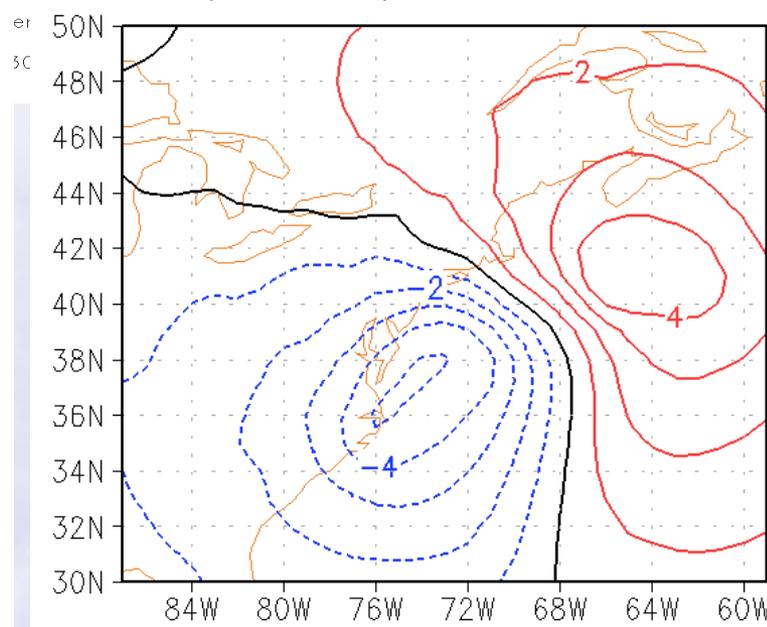
0000 UTC Dec 27 2010 (84-h fcst)



EOF1 pattern, explained variance: 54.7%



EOF2 pattern, explained variance: 26.2%



$$\text{"Sensitivity"} = \frac{\text{cov}(J, x_i)}{\sqrt{\text{var}(x_i)}} = \text{cor}(J, x_i) \times \sqrt{\text{var}(J)}$$

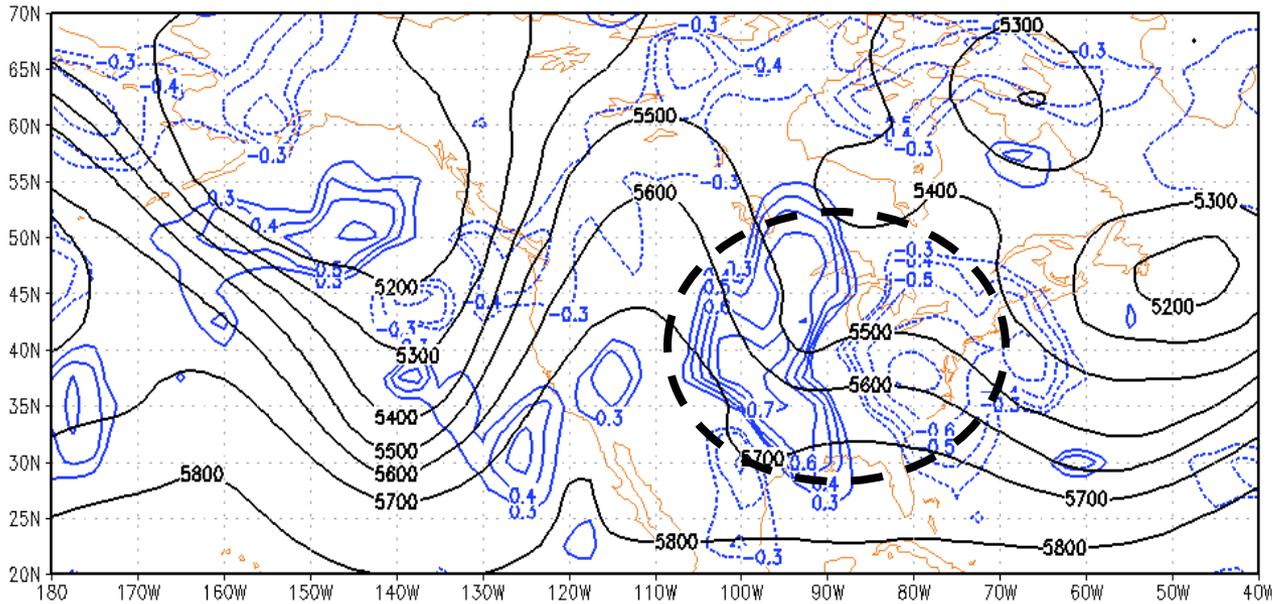
$J$  is any forecast metric at the final forecast time

-  $x_i$  is any variable within the model state vector (500 hPa height in this example).

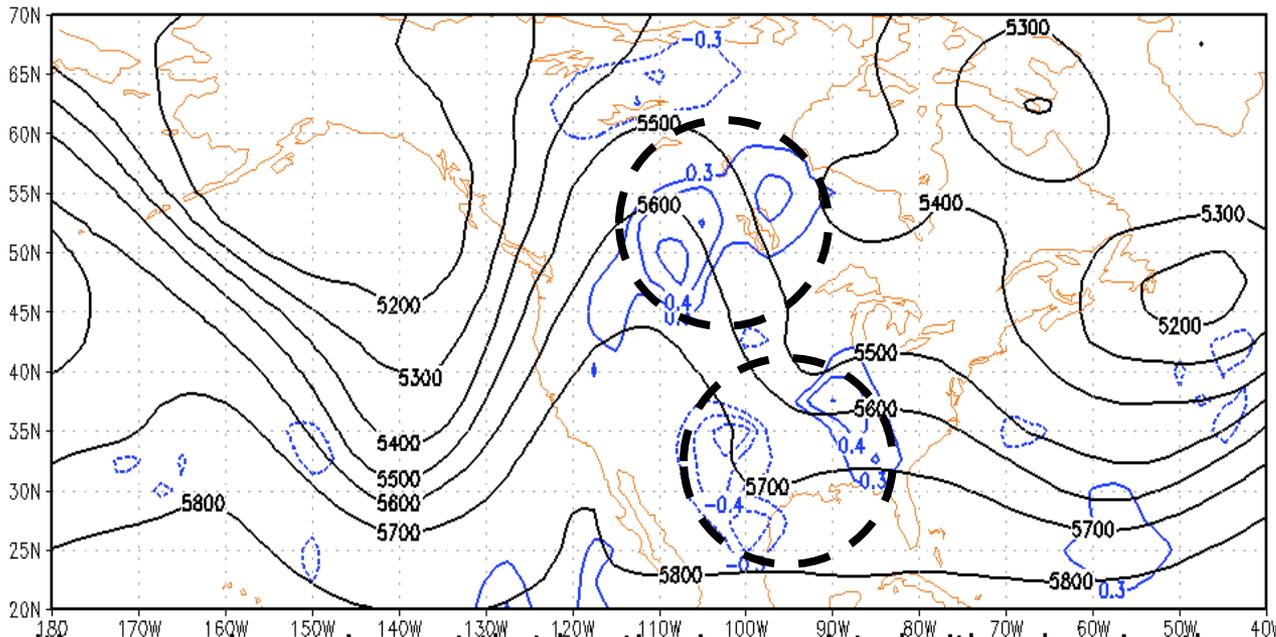
Here,  $J$  is the principal component (PC) of EOF. PCs are the projections of the dominant EOF patterns on each of the ensemble member anomalies.

# T-48 hr (25/00Z) Sensitivity

EOF1: Cyclone strength



EOF2: Cyclone position



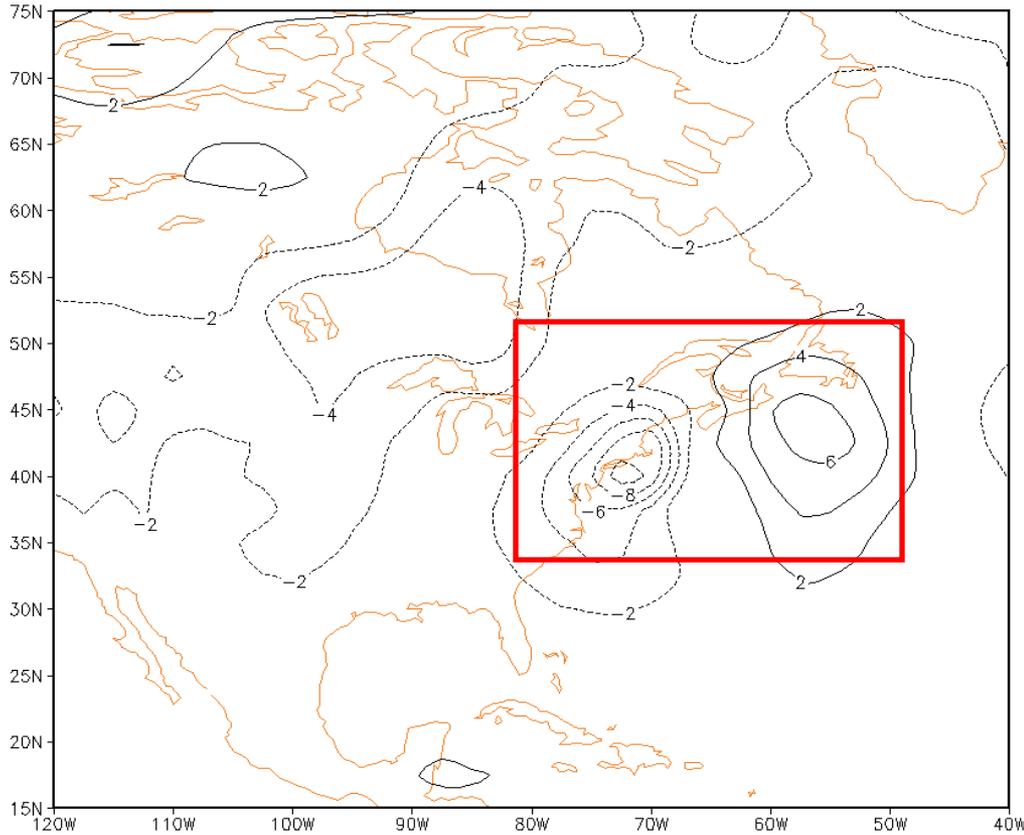
positive areas: increasing z at that location is associated with enhancing the corresponding EOF pattern  
negative areas: decreasing z at that location is associated with enhancing the corresponding EOF pattern

# Can also calculate the ensemble sensitivity for a box of SLP. Why the shift in cyclone position between 24/00Z and 25/00z run cycles?

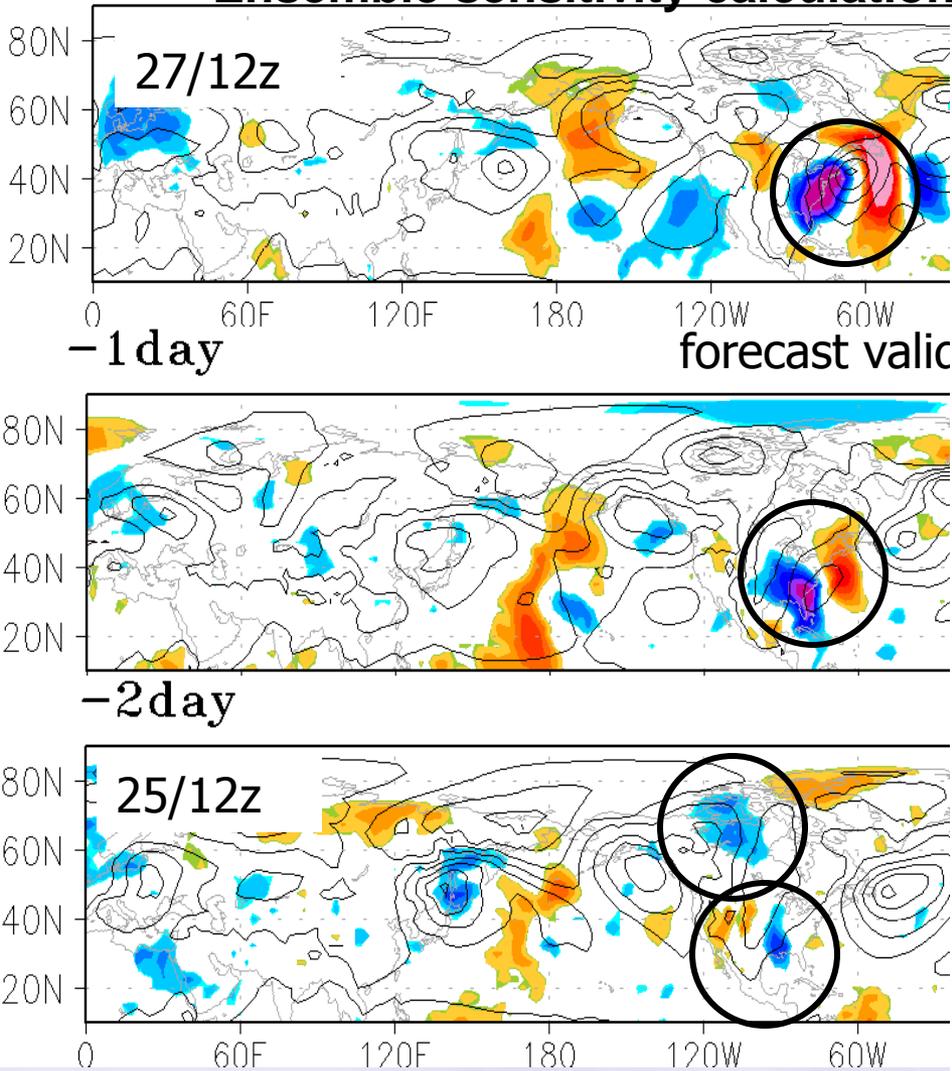
Using 50-member ECMWF, difference between MSLP ensemble mean forecast at 12z Dec 27 2010. Obtain pattern within red box.

Initial time 2010Dec2500Z (60hr) – 2010Dec2400Z (84hr)

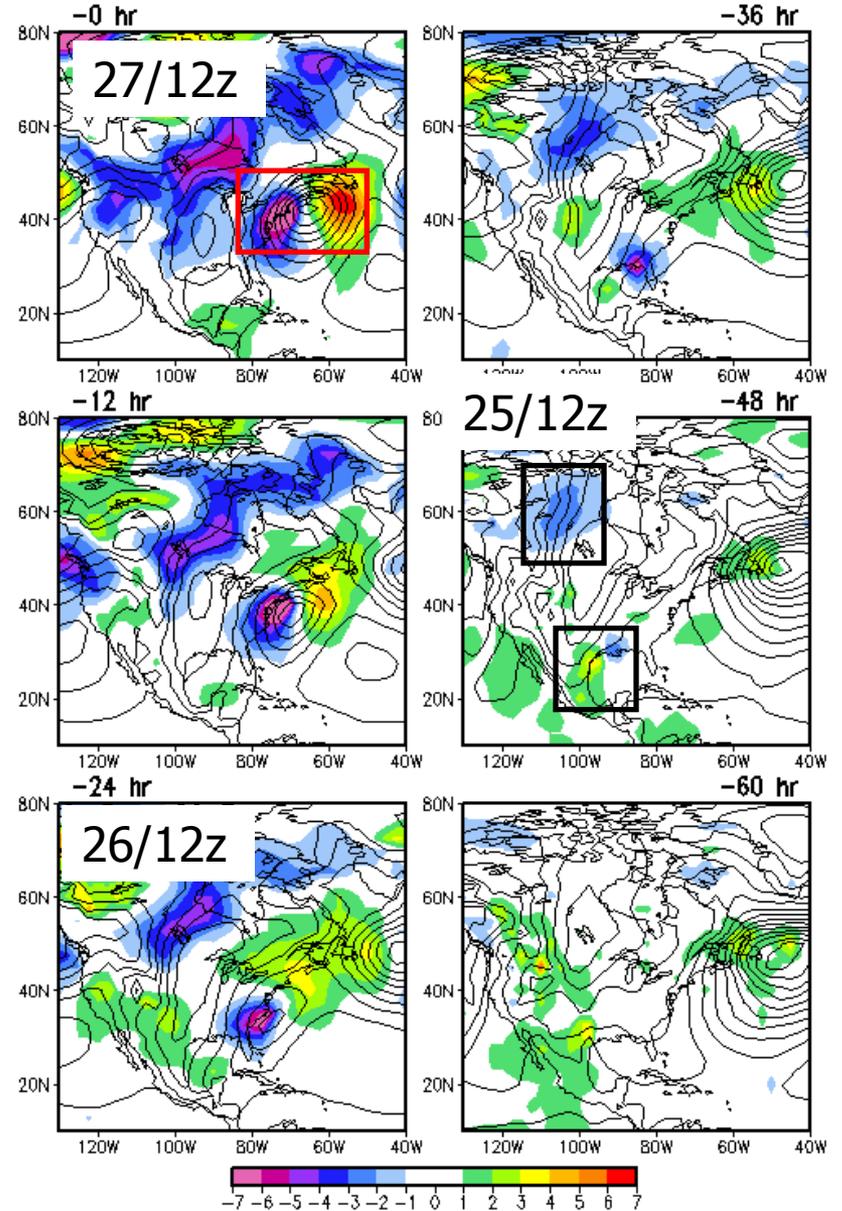
Here, J is the projection of this "shift" pattern onto each ensemble member at final forecast time (Dec27 12Z)



MSLP  
Ensemble sensitivity calculations



Ensemble mean MSLP Forecast difference 25/00z-24/00z



# Real-time Sensitivity Calculations

## CSTAR Ensemble Sensitivity Analysis Archive

[02/11/2012 12Z\(Latest\)](#)

[02/11/2012 00Z](#)

[02/10/2012 12Z](#)

[02/10/2012 00Z](#)

[02/09/2012 12Z](#)

[02/09/2012 00Z](#)

[02/08/2012 12Z](#)

[02/08/2012 00Z](#)

[02/07/2012 12Z](#)

[02/07/2012 00Z](#)

[02/06/2012 12Z](#)

[02/06/2012 00Z](#)

[02/05/2012 12Z](#)

[02/05/2012 00Z](#)

[02/04/2012 12Z](#)

For the Central and Eastern U.S. Region

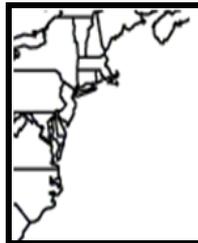
Region 1 Coordinates (longitude:95W to 65W and latitude:30N to 50N)



NCEP	NCEP_PARA	CMC	NCEP+CMC
<a href="#">Day 1</a>	<a href="#">Day 1</a>	<a href="#">Day 1</a>	<a href="#">Day 1</a>
<a href="#">Day 2</a>	<a href="#">Day 2</a>	<a href="#">Day 2</a>	<a href="#">Day 2</a>
<a href="#">Day 3</a>	<a href="#">Day 3</a>	<a href="#">Day 3</a>	<a href="#">Day 3</a>
<a href="#">Day 4</a>	<a href="#">Day 4</a>	<a href="#">Day 4</a>	<a href="#">Day 4</a>
<a href="#">Day 5</a>	<a href="#">Day 5</a>	<a href="#">Day 5</a>	<a href="#">Day 5</a>
<a href="#">Day 6</a>	<a href="#">Day 6</a>	<a href="#">Day 6</a>	<a href="#">Day 6</a>

For the MidAtlantic, NorthEast and Western Atlantic Region

Region 2 Coordinates (longitude:79W to 62W and latitude:32N to 45N)



NCEP	NCEP_PARA	CMC	NCEP+CMC
<a href="#">Day 1</a>	<a href="#">Day 1</a>	<a href="#">Day 1</a>	<a href="#">Day 1</a>
<a href="#">Day 2</a>	<a href="#">Day 2</a>	<a href="#">Day 2</a>	<a href="#">Day 2</a>
<a href="#">Day 3</a>	<a href="#">Day 3</a>	<a href="#">Day 3</a>	<a href="#">Day 3</a>
<a href="#">Day 4</a>	<a href="#">Day 4</a>	<a href="#">Day 4</a>	<a href="#">Day 4</a>
<a href="#">Day 5</a>	<a href="#">Day 5</a>	<a href="#">Day 5</a>	<a href="#">Day 5</a>
<a href="#">Day 6</a>	<a href="#">Day 6</a>	<a href="#">Day 6</a>	<a href="#">Day 6</a>

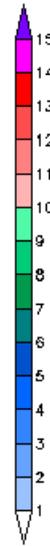
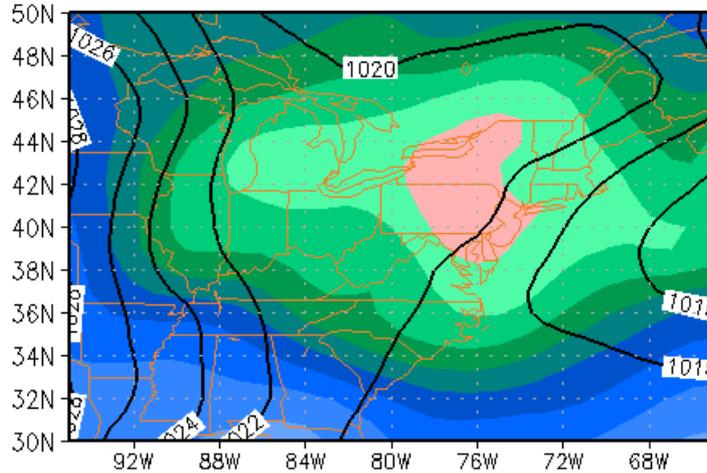
Region 3 (Floater)

[http://dendrite.somas.stonybrook.edu/CSTAR/Ensemble\\_Sensitivity/EnSense\\_Main.html](http://dendrite.somas.stonybrook.edu/CSTAR/Ensemble_Sensitivity/EnSense_Main.html)

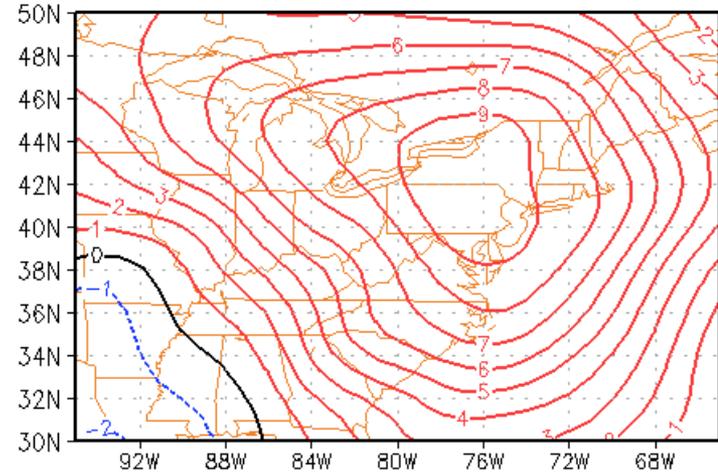
There is also an optional floater region3, in which the user can select the domain, ensemble(s), and forecast day.

# Example: GEFS (6d forecast starting at 1/30/12z

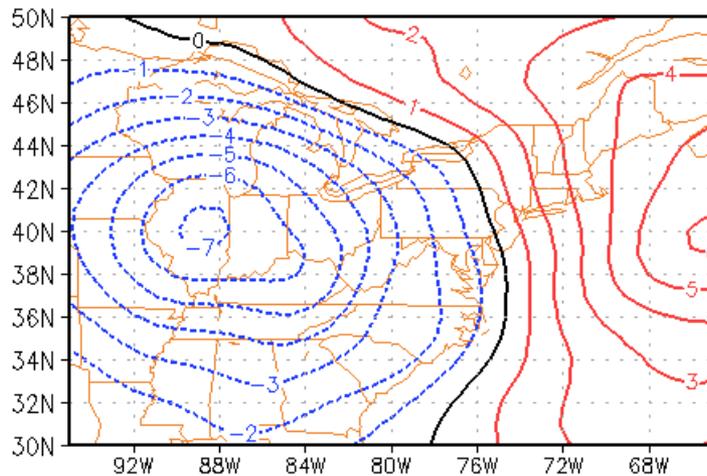
MSLP MEAN (contour, 2mb) and Spread (shaded, 1mb)  
2012013012 + 6day (VT:2012020512)



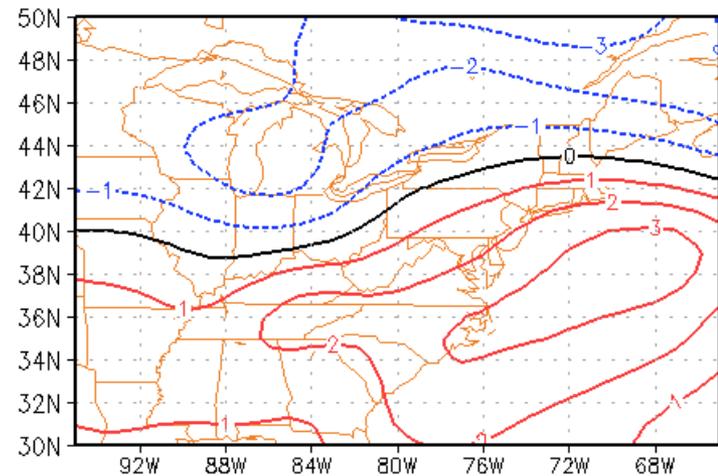
EOF1 MSLP pattern  
Explained variance: 58.1%



EOF2 MSLP pattern  
Explained variance: 21.8%

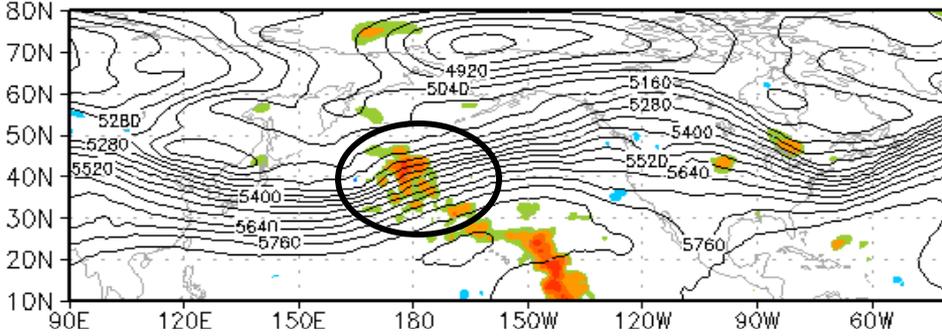


EOF3 MSLP pattern  
Explained variance: 7.4%

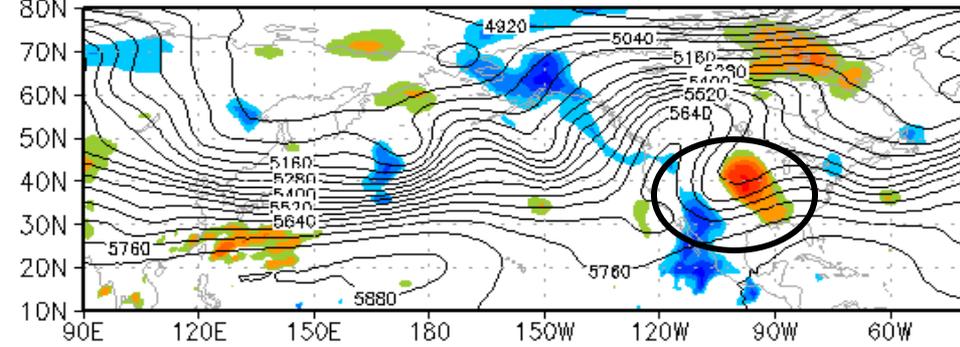


# Ensemble Sensi for EOF1 from init (top left) to day 6

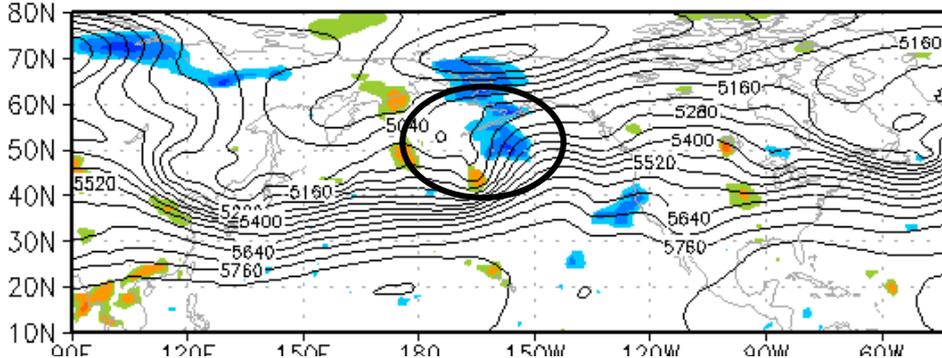
2012013012 +0 day



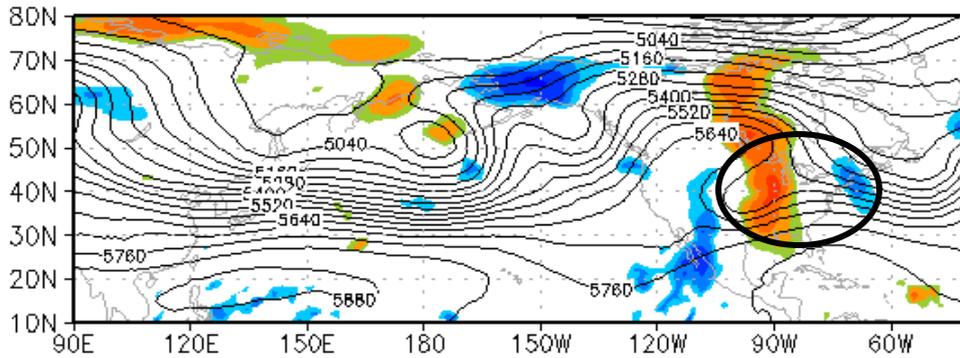
2012013012 +4 day



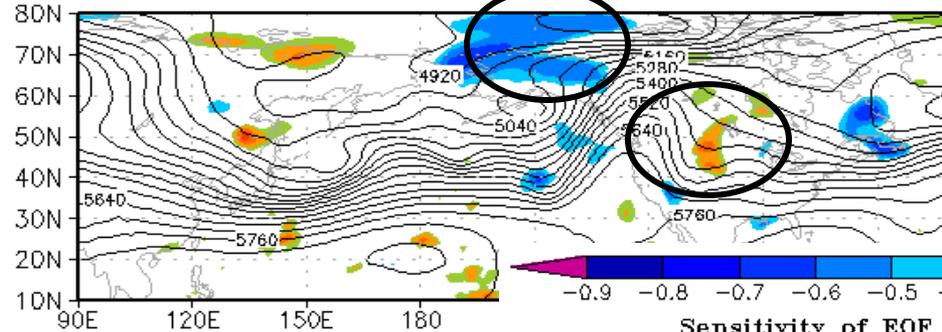
2012013012 +1.5 day



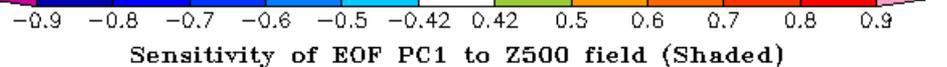
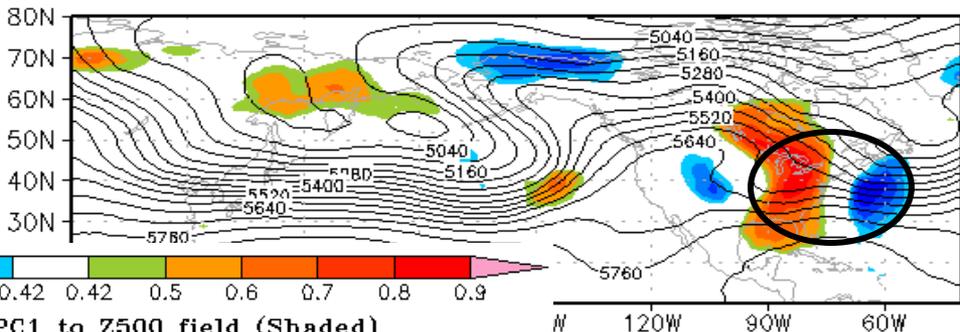
2012013012 +5 day



2012013012 +3 day



2012013012 +6 day



For the blue areas (neg sensi), if you lower the heights, it will result in the EOF1 pattern of a higher pressures (red). Positive sensi (orange) is the opposite.

# **Goal: Improve forecaster awareness of the important synoptic features impacting the predictability. One approach.....**

1. There is a large spread in the forecast cyclone position in the ensembles. OR, two ensemble cluster means have two separate solutions? Forecaster asks: Why are some members closer to the coast and deeper than other members for a particular cyclone (ensemble cluster)?
2. Forecaster defines his/her sensitivity box around the forecast cyclone in question (forecast hour of interest).
3. Using sensitivity analysis, Forecaster can get some idea of the upstream source of the cyclone spread (Pacific Rossby wave packet in medium range?; short-wave from more data sparse Canada?, ...?)
4. If the next model cycle, the storm is closer to the coast, forecaster can confirm whether it was because of changes in upstream flow that sensitivity analysis suggested.

# Ensemble Sensitivity Summary

- \* Ensemble sensitivity approach has been constructed using metrics useful for the forecaster (e.g., cyclone properties). Can be expanded to other features (300Z jet, snowband position, etc...).
- \* The ensemble sensitivity is NCEP (thanks to Yan Luo and Yuejan Zhu) in real-time with results on the SBU CSTAR page: [http://dendrite.somas.stonybrook.edu/CSTAR/Ensemble\\_Sensitivity/EnSense\\_Main.html](http://dendrite.somas.stonybrook.edu/CSTAR/Ensemble_Sensitivity/EnSense_Main.html)
- \* Results from 26-27 Dec 2010 sensitivity analyses suggest that:
  - Cyclone location at Dec 27 12Z sensitive to prior conditions (at -48hr to -60hr) near the gulf coast as well as over northern Canada west of Hudson Bay
  - Short range (24-hr) forecast errors over those locations from forecasts based on Dec 24 00Z apparently led to cyclone being forecast too far east.

# QUESTIONS???

<http://dendrite.somas.stonybrook.edu/CSTAR/cstar.html>

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